



UNITED STATES DEPARTMENT OF COMMERCE  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/191,256         | 11/12/98            | CASE                  | SA9-98-160                |

0232/1204

MOREEN A KRALL  
TEM CORPORATION  
INTELLECTUAL PROPERTY LAW  
5600 COTTLE ROAD (L2PA/0142)  
SAN JOSE CA 95193

NOT ASSIGNED

DATE MAILED:

12/04/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 230.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☒ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9-97)

PART 3 - OFFICE COPY

Sector \$  
#3

FORM PTO-1083/Modified

IBM Docket No. SA9-98-160 (PATENT)

In re application of: D. R. Case et al.  
Serial No.: 09/191,256  
Filed: November 12, 1998  
For: "SYSTEM AND METHOD FOR REMOTELY ACCESSING A CLIENT IN A CLIENT SERVER ENVIRONMENT"

ASSISTANT COMMISSIONER OF PATENTS  
WASHINGTON, DC 20231

SIR:

Transmitted herewith in the above-identified application are:

- ☐ sheets of informal drawing(s)
- ☒ Petition and Fee for Extension of Time
- ☐ Amendment
- ☒ Declaration and Power of Attorney for Patent Application
- ☐ Assignment of the invention to International Business Machines Corporation
- ☒ Notice to File Missing Parts of Application -- Filing Date Granted
- ☐ Transmittal of Substitute Specification
- ☐ Statement that Substitute Specification Contains No New Matter
- ☐ No additional fee is required.

The fee has been calculated as shown below:

| CLAIMS                                    |    | HIGHEST NO. |    | PRESENT |   | ADDIT.    |          |
|---|----|-------------|----|---------|---|-----------|----------|
| REMAINING                                 |    | PREVIOUSLY  |    | EXTRA   |   | FEE       |          |
| AFTER                                     |    | PAID FOR    |    |         |   |           |          |
| AMENDMENT                                 |    |             |    |         |   |           |          |
| BASIC FILING FEE                          |    |             |    |         |   |           |          |
| TOTAL                                     | 22 | MINUS       | 20 | =       | 0 | x 18 =    | \$ .00   |
| INDEP.                                    | 3  | MINUS       | 3  | =       | 0 | x 78 =    | \$ .00   |
| FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |    |             |    |         |   | +270 =    | \$       |
|   |    |             |    |         |   | Surcharge | \$130.00 |
|   |    |             |    |         |   | TOTAL     | \$       |

☒ Please charge my Deposit Account No. 09-0466 in the amount of \$130.00.  
A duplicate copy of this sheet is attached.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0466. A duplicate copy of this sheet is attached.

- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.

CERTIFICATE OF MAILING

I hereby certify that the above paper/fee is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231 on

2-11-99  
Person Mailing paper/fee  
Rosalind Kennison

Signature Rosalind Kennison

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